

PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	10/660,893
		Filing Date	September 12, 2003
		First Named Inventor	Charles Link
		Group Art Unit	1645 1636
		Examiner Name	Not Yet Assigned Dunston
Sheet 1	of 6	Attorney Docket Number	05237.0003.CPUS01

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
JD	A1	US-4,683,195	07-28-1987	Mullis	
	A2	US-5,364,783	11-15-1994	Ruley	
	A3	US-5,565,350	05-05-1998	Kniec et al.	
	A4	US-5,922,601	07-13-1999	Baetscher	
	A5	US-6,130,313	10-10-2000	Li	
	A6	US-5,928,888	07-27-1999	Whitney	
	A7	2002/0025940 A1	02-28-2002	Whitney	
	A8	US-6,096,717	08-01-2000	Jarvik	
JD	A9	US-6,498,013 B1	12-24-2002	Velculescu	
	A10	US-6,080,576	06-27-2000	Zambrowicz	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
JD	B1	WO 98/53319	11-26-98	Vogelstein		
JD	B2	WO 99/02719	01-21-99	Christopher		
JD	B3	WO 0056874 A	00-03-25	Massachusetts Institute of Technology		

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¹ Applicant's unique citation designation number (optional). ² See Kinds codes of USPTO Patent Documents as www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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			Group Art Unit	1645 1636
			Examiner Name	Yet to Be Assigned Dunston
Sheet 2	of 6	Attorney Docket Number	05237.0003.CPUS01	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T ²
JD	C1	Altschul et al. (1997). Gapped BLAST and PSI-BLAST: a new generation of protein database search programs. Nucleic Acids Res. 25: 3389-3402	
	C2	Armentano et al. (1987). Effect of Internal Viral Sequences on the Utility of Retroviral Vectors. J. Virol. 61: 1647-1650	
	C3	Bender et al. (1987). Evidence that the Packaging Signal of Moloney Murine Leukemia Virus Extends into the gag Region. J. Virol. 61: 1639-1649	
	C4	Claverie and States (1993). Information Enhancement Methods for Large Scale Sequence Analysis. Comput. Chem. 17: 191-201	
	C5	Cormier et al. (1974). Bioluminescence in Coelenterates. Biochem. Biophys. Acta 346: 137-164	
	C6	Corpet et al. (1988). Multiple Sequence Alignment with Hierarchical Clustering. Nucleic Acid Res. 16: 10881-90	
	C7	Costa et al. (1998). Transcriptional regulation of the tissue-type plasminogen activator gene in human endothelial cells: identification of nuclear factors that recognize functional elements in the tissue-type plasminogen activator gene promoter. European Journal of Biochemistry 258: 123-131	
	C8	Henikoff and Henikoff (1992). Amino acid Substitution Matrices From Protein Blocks. Proc. Natl. Acad. Sci. USA 89: 10915	
	C9	Higgins and Sharp (1988). Clustal: a package for performing multiple sequence alignment on a microcomputer. Gene 73: 237-244	
JD	C10	Higgins and Sharp (1989). Fast and sensitive multiple sequence alignments on a microcomputer. CABIOS 5: 151-153	

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Sheet	3	of	6	Attorney Docket Number	05237.0003.CPUS01

JD	C11	The human gene consortium. (2001). Initial sequencing and analysis of the human genome. Nature 409: 860-921	
	C12	Huang et al. (1992). Parallelization of a local similarity algorithm. Computer Applications in the Biosciences 8: 155-65	
	C13	Fassati et al. (1998). Insertion of Two Independent Enhancers in the Long Terminal Repeat of a Self Inactivating Vector Results in High-Titer Retroviral Vectors With Tissue Specific Expression. Human Gene Therapy 9: 2459-2468	
	C14	Fleischmann et al. (1998). Cardiac Specific Expression of the Green Fluorescent Protein During Early Murine Embryonic Development. FEBS Letters 440: 370-376	
	C15	Fraser et al. (1997). Strategies for whole microbial genome sequencing and analysis. Electrophoresis 18: 1207-1216	
	C16	Goeddel et al. (1980). Nucleic Acid Res. 8: 4057	
	C17	Gygi et al. (1999). Correlation between protein and mRNA abundance in yeast. Molecular and Cellular Biology : 1720-1720	
	C18	Larison et al. (1995). Use of a New Fluorogenic Phosphatase Substrate in Immunohistochemical Applications. J. Histochem. Cytochem. 43: 77-83	
	C19	Lidberg et al. (1998). Transcriptional Regulation of Human Carboxyl Ester Lipase Gene in Exocrine Pancreas. The Journal of Biological Chemistry 273: 47	
	C20	Meyers and Miller (1988). Optimal alignments in linear space. Computer Applic. Biol. Sci. 4: 11-17	
	C21	Miller et al. (1989). Improved retroviral vectors for gene transfer and expression. Biotechniques 7: 980-990	
JD	C22	Needleman and Wunsch (1970). A general method applicable to the search for similarities in the amino acid sequence of two proteins. J. Mol. Biol. 48: 443	

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Sheet 4 of 6	Attorney Docket Number	05237.0003.CPUS01	

JD	C23	Paulus et al. (1996). Self-contained, tetracycline-regulated retroviral vector system for gene delivery to mammalian cells. J. of Virology 70: 62-67	
	C24	Pearson and Lipman (1988). Improved tools for biological sequence comparison. Proc. Natl. Acad. Sci. 85: 2444	
	C25	Person et al. (1994). Using the FASTA program to search protein and DNA sequence databases. Methods in Molecular Biology 24: 307-331	
	C26	Post et al. (1981). Regulation of α genes of herpes simplex virus: expression of chimeric genes produced by fusion of thymidine kinase with α gene promoters. Cell 24: 555-565	
	C27	Smith and Waterman (1981). Comparison of biosequences. Adv. Appl. Math. 2: 482	
	C28	Shimatake et al. (1981). Purified χ regulatory protein CII positively activates promoters for lysogenic development. Nature 292: 128	
	C29	Igarashi et al. (1998). A Novel Strategy of Cell Targeting Based on Tissue-Specific Expression of the Ecotopic Retrovirus Expression Gene. Human Gene Therapy 9: 2691-2698	
	C30	Valerie et al. (1998). Tissue Specific Cell Cycle Regulated Chimeric Transcription Factors for the Targeting of Gene Expression to Tumor Cells. Human Gene Therapy 9: 2653-2659 <i>Jerome et al. 90</i>	
	C31	Medico et al (2001). A gene trap vector system for identifying transcriptionally responsive genes. Nature Biotech. 19: 579.	
	C32	Wooten and Federhen (1993). Comput. Chem. 17: 149-163	
JD	C33	Young and Link (2000). Chimeric Retroviral Helper Virus and Picomavirus IRES Sequence to Eliminate DNA Methylation for Improved Retroviral Packaging Cells. J. Virol. 74(11): 5242-5249	

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JD	C34	Yu et al (1998). Coregulation of Tissue-Specific Alternative Human Carnitine Palmitoyltransferase IB Gene Promoter by Fatty Acid Enzyme Substrate. The Journal of Biological Chemistry 273, No. 49	
	C35	Whitney et al (1998) A genome-wide functional assay of signal transduction in living mammalian cells. Nature Biotechnology 16:1329	
	C36	Jarvik et al (1996). CD-Tagging: A new approach to gene and protein discovery and analysis. BioTechniques 20: 896-904	
	C37	Skarnes et al (1995). Capturing genes encoding membrane and secreted proteins important for mouse development. Proc. Natl. Acad. Sci USA 92: 6592	
	C38	Zambrowicz et al (1998). Disruption and sequence identification of 2000 genes in mouse embryonic stem cells. Nature 392: 608-611.	
	C39	European Search Report, dated April 2, 2004	
	C40	Brenner, et al. (1989). Analysis of mammalian cell genetic regulation in situ by using retrovirus-derived 'portable exons' carrying the Escherichia coli lacZ gene," Proc. Natl. Acad. Sci. , Vol. 86, No 14, pages 5517-5521	
	C41	Stanford et al. (1998). Expression Trapping: Identification of Novel Genes Expressed in Hematopoietic and Endothelial Lineages by Gene Trapping in ES cells. Blood , Vol. 92, No. 12, pages 4622 to 4631.	
	C42	Kerr et al. (1996). Analysis of lipopolysaccharide-response genes in B-lineage cells demonstrates that they can have differentiation stage-restricted expression and contain SN2 domains. Proc. Natl. Acad. Sci. Vol. 93, No. 9, pages 3947-3952.	
JD	C43	Tate et al. (1998). Capturing novel mouse genes encoding chromosomal and other nuclear proteins. J. Cell. Science , Vol. 111, pages 2575-2585.	

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Sheet	6	of	8	6	Attorney Docket Number	05237.0003.CPUS01

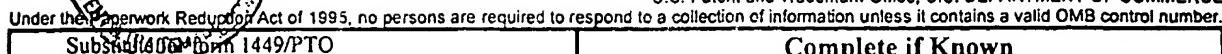
JD	C44	Suarez, et al. (1997). Green fluorescent protein-based reporter systems for genetic analysis of bacteria including monocopy applications. <i>Gene</i> , Vol. 196, Nos. 1-2, pages 69-74.	
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Attorney Docket Number	05237.0003.CPUS01

Sheet	1	Of	1 2
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	To
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JD	B4	WO 2004/065553	08/05/2004	Health Research, Inc.		

Date
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		Filing Date	September 12, 2003
		First Named Inventor	Charles J. Link
		Group Art Unit	7645 1636
		Examiner Name	Not yet assigned Dunston
2 of 2		Attorney Docket Number	05237.0003.CPUS01

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher city and/or country where published	T ²
JD	C45	International Search Report from PCT/US04/29658 dated May 17, 2005	

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